THE MORPHOLOGY OF NONCONCATENATIVE LANGUAGES

Data and Analysis from a Few Semitic Languages

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Overview of the Semitic Language Family

GEOGRAPHICAL

- Semitic languages are spoken in:
 - 1 Northern Africa
 - 2 Horn of Africa
 - 3 Middle East
 - 4 Southwest Asia

ETHNOGRAPHICAL

- More than 467 million speakers worldwide
- Named after the Hebrew word "shem" (son of Noah)
- Only languages in Afroasiatic family spoken outside of Africa
- Constitutes some of the oldest written languages on the planet

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- Proto-Afroasiatic originated in NE Africa (probably Ethiopia)
- Large language diversity and lack of many common vocabulary
- Family dispersed around the Neolithic era (!!)
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(Sub-)Family Overview and Subdivisions

Subdivisions Include:

EASTERN SEMITIC (Extinct) Akkadian, Babylonian, Eblaite, ...

CENTRAL SEMITIC Arabic, Hebrew, Amharic, Maltese, ...

South Semitic Ge'ez, Tigré, Tigrinya, Soqotri, . . .

- Two-gender system with famous feminine marker /t/
- Maybe a three-way CASE SYSTEM, preserved in Akkadian, (Qur'anic Arabic?)
- VSO and SVO word order; SVO becomming standard.
- EMPHATIC CONSONANTS: variously realized as {glottalized, pharyngealized, implosive}
- Many subfamilies have possessive suffixes
- and most importantly...

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ROOT-AND-PATTERN MORPHOLOGY, I

- Almost all the languages have Root-AND-PATTERN MORPHOLOGY (RPM): words are formed (in part) by nonconcatenatively interleaving various morphemes
- The only non-Semitic example Sierra Miwoq:

Root	1	2	3	4
√kcw	kicaaq	kicaww	kiccaw	kicwa
$\sqrt{\text{tyn}}$	tuyaaŋ	tuyaŋŋ	tuyyaŋ	tuyŋa
√pttt	pattit	patitt	pattit	patti

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ROOT-AND-PATTERN MORPHOLOGY, II

Major Properties of RPM:

- Affixes exist, but most appear discontinuously
- Prosodic structure is very important
- Consonants and vowels play different roles
- Some prefixes and suffixes, but usually for *inflection only*



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SEMITIC RPM INCLUDES:

ROOT Made up of 2-4 consonants

Vocalism Affix carrying tense/aspect/voice; two vowels

TEMPLATE Pattern into which root and vocalism are placed

OTHER Some prefixes and suffixes (more to come)



AN OVERUSED EXAMPLE

Table: The Ubiquitous $\sqrt{\text{ktb}}$ Example

Root	Meaning	Template
kataba	he wrote	CaCaCa
kattaba	he made someone write	CaCCaCa
nkataba	he subscribed	nCaCaCa
ktataba	he copied	CtaCaCa
kitaab	book	CiCaaC
kuttaab	Koranic school	CuCCaaC
kitaabii	written, in writing	CiCaaCa
kutayyib	booklet	CuCauuiC
maktaba	library, bookstore	maCCaCa
mukaatib	correspondant, reporter	muCaaCiC

- Problem for Item-and-Arrangement (on the surface)
- Morphophonological rules target root, stem, word
- Discontinuous parts come from distinct syntactic heads
- Allow us to look into structure of the lexicon
- Evidence for category-netural roots (like Esperanto, except real)

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THE ARABIC DERIVATIONAL VERBAL PARADIGM - CCC Roots

Table: $\sqrt{f\Omega}$, "doing, action"

Number	Verb	Template
I	faSal	$C_1VC_2VC_3$
II	fassal	$C_1VC_2C_2VC_3$
III	faaSal	$C_1VVC_2VC_3$
IV	?af\al	$2 C_1 C_2 V C_3$
V	tafassal	$taC_1VC_2C_2VC_3$
VI	tafaaSal	$taC_1VVC_2VC_3$
VII	nfaSal	$nC_1VC_2VC_3$
VIII	ftaSal	$C_1 tVC_2 VC_3$
IX	fSall	$C_1C_2VC_3C_3$
X	staffal	$staC_1C_2VC_3$

What are the Morphemes Here?!

TABLE: (One Possible) Morphological Analysis

Number	Root	Vowels	Other Aff.	CV-Template
I	√fʕ1	/aa/	None	CVCVC
II	√fʕ1	/aa/	/μ/	CVCCVC
III	√f§1	/aa/	$/\mu/$	CVVCVC
IV	√fʕ1	/a/	/?a-/	CVCCVC
V	√fʕ1	/aa/	/ta-/	CVCVCCVC
VI	√f§l	/aa/	/ta-/	CVCVVCVC
VII	√fʕ1	/aa/	/n-/	CCVCVC
VIII	√fʕ1	/aa/	/-t-/	CCVCVC
IX	√fʕ1	/a/	/μ/	CCVCC
X	√fʕl	/a/	/sta-/	CCVCCVC

What Does All This Mean?

(Mostly) Derivational Because...

- Not every root appears in every pattern (Productivity)
- Ordered *really* close to the root (Distance)
- Roots do not exist by themselves (*Optionality)
- Meanings are unpredictable (Lexicalization) but...

There are some Regularities. . .

IV/?af\al is usually causative.

V/tafassal is usually the passive of II/fassal

VI/tafaaʕal is usually the passive of III/faaʕal

VIII/ftasal is sometimes the passive of I/fasal

IX/fγall is usually denominative



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Q2	tafaʕlal	$taC_1VC_2C_3VC_4$
Q3	fSanlal	$C_1C_2VnC_3C_4$
Q4	fSalall	$C_1C_2VC_3VC_4C_4$

EXAMPLES!

Table: Examples of Real-Life Arabic Verbs I

Number	Example	Gloss	Root
I	tfasar	'he broke'	tfsr
II	tfassar	'he broke into pieces'	tfsr
III	kaatal	'he fought with'	ktl
IV	?at ^s laS	'he brought out'	$\mathfrak{t}^{\varsigma}\mathfrak{l}\varsigma$
V	tatfassar	'he was broken into pieces'	tfsr
VI	takaatal	'he fought with himself'	ktl
VII	intſasar	'he was broken'	tfsr
VIII	intasaf	'he was blown up'	nsf
IX	ıswadd	'he became black'	swd
X	ıstazyar	'he thought of something as small'	zyr

Examples (Redux)!

Table: Examples of Real-Life Arabic Verbs II

	zarbat ^r	'he confused'	$\mathrm{zrbt}^{\scriptscriptstyle \Sigma}$
	tazarbat [°]	'he was confused'	$\mathrm{zrbt}^{\varsigma}$
Q3	bran∫aq	'he flourshed/bloomed'	br∫q
Q4	kfaharr	'to become dark/gloomy'	kfhr

THE HEBREW DERIVATIONAL VERBAL SYSTEM

Table: $\sqrt{p\Omega}$, "doing, action"

Number	Verb	Template
I	paSal	$C_1aC_2aC_3$
II	nifSal	$niC_1C_2aC_3$
III	hif?il	$hiC_1C_2iC_3$
IV	hufSal	$huC_1C_2aC_3$
V	piγel	$C_1iC_2eC_3$
VI	puSal	$C_1uC_2aC_3$
VII	hitpaSel	$hitC_1aC_2eC_3$

Discussion of Hebrew Verbs

Some Differences from Arabic

- Vowels are associated with particular binyanim
- Fewer patterns
- A bit more extra affixal material

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IV/huffal Generally the passive of III/hiffil

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One More Example – Maltese

TABLE: Various Roots in Maltese

Number	Verb	Template	Meaning
I	zelaq	$C_1VC_2VC_3$	'he slipped'
II	daħħal	$C_1VC_2C_2VC_3$	'he introduced'
III	bierek	$C_1VVC_2VC_3$	'he blessed'
V	tfarrak	$tC_1VC_2C_2VC_3$	'he smashed into pieces'
VI	tbierek	$tC_1VVC_2VC_3$	'he was blessed'
VII	ngabar	$nC_1VC_2VC_3$	'he was gathered'
VIII	ntefaq	$C_1 tVC_2 VC_3$	'it was spent'
IX	ħdar	$C_1C_2VC_3$	'he turned green'
X	stkerrah	$stC_1VC_2C_2VC_3$	'he loathed'

Theoretical Considerations – Derivational Verbs

ITEM-AND-ARRANGEMENT IS DEAD

- If we try to segment by morpheme: k+a+t+a+b
- ... but this misses generalizations (i.e., \sqrt{ktb})
- Item and process gets us a bit further...

- What can we say about lexicalization here?
- Many forms show something like it, but...
- Ultimately, must list the combination ROOT+CV somewhere
- How can we do this without missing generalizations?
- What *is* the meaning of the root?
- One answer: root encodes semantic potentiality



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Inflection/Functional Morphology Overview

Inflectional Morphology

- Inflectional morphology realized as prefixes/suffixes
- Prosodic considerations change the base form (!!)
- We will read about this later in the quarter (Brame, 1974)
- Can encode {pers, NUM, GEN}
- Subject and object marking

FUNCTIONAL MORPHOLOGY

- Things like voice, tense, aspect
- We've already seen a lot of this
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Inflectional Morphology – Maltese

Table : qatel, 'he kill{ed, s}'

	,	Imperfect		
Singular				
1	qtilt	noqtol		
2	qtilt	toqtol		
3.masc	qatel	joqtol		
3. гем	qatlet	toqtol		
Plural				
1	qtilna	noqtlu		
2	qtiltu	toqtlu		
3	qatlu	joqtlu		

Inflectional Morphology – Hebrew

Table: ∫amar, 'he tread'

	Singular	Plural
1	∫amarti	∫amarnu
2.masc	∫amarta	∫martem
2. FEM	∫amart	∫marten
3.masc	∫amar	∫amru
3. гем	∫amra	∫amru

Theoretical Considerations – Inflection

Prosody and Word Formation

- The stem changes form because of PROSODY
- MAXIMAL WORD: words can be only so big
- MINIMAL word: words must be at least so big
- Otherwise, we would have to write v. complicated VI/Form rules here

Level Ordering/Strata

- Seems like we add CCC to /V... V/...
- then we add inflection (concatenativity outside nonconcatenativity)
- **Question**: What does this say about the Split Morphology Hypothesis?



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- Evidence for two kinds of nominals in Arabic:
 - 1 Root-Derived: Noun is derived from \sqrt{CCC} + Template
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ROOT-DERIVED NOUNS

Table: Some Root-Derived Nouns in Arabic

Example	Meaning	Pattern
baħr	'sea'	CVCC
badal	'substitute'	CVCVC
sukuun	'tranquility'	CVCVVC
xaasir	'loser'	CVVCVC

THESE NOUNS...

- Have idiosyncratic meaning
- Appear with all different vocalisms

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THE PLURAL SYSTEM I – REGULAR PLURALS

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Dual Productive dual suffix /-aan/ or /-ain/ (cf., Hebrew /-ajim/)

Plural.Fem Productive suffix /-aat/(cf., Hebrew /-ot/)

Plural.Masc Productive suffix /-uun/ (cf., Hebrew /-iim/)

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EXAMPLES!

Dual safiir-aan, "abassador-dual"

Plural.Fem mat^s aar-aat, "airport-pl.fem"

Plural.Masc filist¹ iiniyy-uun, "Palestinian-pl.masc"

- These plurals formed by ablaut/pattern change
- They're almost regular, based on prosodic form
- These plurals are the most frequent

Singular	Plural	Meaning
ra?iis	ru?aasa?	'president(s)'
s [°] adiiq	as [°] diqaa?	'friend(s)'
kaatib	kuttab	'writer(s)'
ħaqq	ħuquuq	'right(s)'
raml	rimaal	'sand(s)'
dawla	duwal	'state(s)'
madiina	mudun	'city(ies)'

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DEVERBAL NOUNS AND PATTERN BEHAVIOR I

- "Verbal nouns" are formed by augmenting the pattern of verbs:
- Notice the transparent semantics. . .

Verb	Meaning	VN	Meaning
daSam	'to support'	daSm	'support'
karrar	'to repeat'	takraar	'repetition'
ħaawar	'to converse'	ħiwaar	'conversation'
?arsal	'to send'	?irsaal	'sending'
tanaffas	'to breathe'	tanaffus	'breathing'

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Deverbal Nouns and Pattern Behavior II

SOME PREFACE

- Other nouns are formed by affixation or ablaut + affixation
- These include participles, nouns of place, agentive nouns, etc.
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EXAMPLES!

Noun of Place maktab, 'office' (\rightarrow katab, 'he wrote')

Passive Participle mubaarak, 'blessed' (→ baarak, 'to bless')

Theoretical Considerations – Nouns

TARGETS OF RULES

- Noun formation rules can target root *or* stem (verb)
- Thus we (maybe?) need both these concepts
- Rules also can make reference to prosody (take phono II!)

- Again we seem to need level ordering!
- Verbs → Nouns (or vice versa, as before)
- This gives us the semantic facts pretty elegantly...
- **Question**: Again, what about the SMH?



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- Noun formation rules can target root *or* stem (verb)
- Thus we (maybe?) need both these concepts
- Rules also can make reference to prosody (take phono II!)

LEVEL ORDERING/SPLIT MORPHOLOGY

- Again we seem to need level ordering!
- Verbs → Nouns (or vice versa, as before)
- This gives us the semantic facts pretty elegantly...
- **Question**: Again, what about the SMH?



Do We Even Need a Root?

Pretheoretical Question

- Seems descriptively like we might need a root
- But do we need it *theoretically*?
- Question: could we just get away with an augmented definition of STEM?

- Phonological processes bounded by the root (≠ stem)
- Phonological processes triggered/targeting the root
- Generalizations we can't state w/o the root
- Semantics contributed by the root



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EVIDENCE TO CONSIDER

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Meaning Similarity Across Derived Forms

- We saw this one before:
- 33/35 words from $\sqrt{\text{ktb}}$ mean "writing, books"

Table: The Ubiquitous $\sqrt{\text{ktb}}$ Example

Root	Meaning	Template
kataba	he wrote	CaCaCa
kattaba	he made someone write	CaCCaCa
nkataba	he subscribed	nCaCaCa
ktataba	he copied	CtaCaCa
kitaab	book	CiCaaC
kitaabii	written, in writing	CiCaaCa
kutayyib	booklet	CuCauuiC
maktaba	library, bookstore	maCCaCa
mukaatib	correspondant, reporter	muCaaCiC

Greenbergian Restrictions on Root Consonants

Greenberg (1950)

- Fact: An asymmetry in root-consonant place distribution:
 - $C_1C_2C_2$ is common (\sqrt{hbb} , \sqrt{ftt} ,...)
 - *C_1C_1C_2 it is *never* seen.
- This is the Obligatory Contour Principle at work!

Pierrehumbert (1993)

- This OCP effect is even stronger:
- Roots of the form $C_1C_2C_1$ are statistically rare
- ... and speakers don't like nonce roots of this form



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Psycholinguistics I

PRODUCTIVE OCP?!

- The OCP is also synchronically active
- Hebrew speakers given $C_1C_1C_2$ have a harder time:
- With word-recognition
- With deciding phonotactic plausibility

Priming Studies

• Data from priming studies and Hebrew morphology:

Roots Roots prime other roots

Templates Templates do *not* prime templates

Vocalism Somewhat inconclusive...



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Psycholinguistics II – Aphasia

French Speech & Background

- French/Arabic bilingual; stroke caused deep aphasia
- Aphasia surfaces as metathesis in speech:
- French:
 - naval, 'naval' → vanal
 - pedalo, 'pedal boat' → palode

ARABIC SPEECH

- But his Arabic errors metathesis *only* root consonants!
 - $?ufb, 'grass' \rightarrow fu?b$
 - ku?uus'glasses' → kusuu?
 - ta-waqquf, 'stopping' → ta-qawwuf
 - s-t-agaam, 'he stood straight' → wa?iim



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A Bedouin Hijazi Language Game + Nickname Formation

BEDOUIN GAME

- Bedouins sometimes play a language game (cf., Pig Latin) which switches root consonants.
- Outputs for word difasna, "we pushed" ($\sqrt{\text{dfs}}$):
 - da\afna
 - fida\na
 - faSadna
 - *nafa\(\frac{1}{2}\)da

ARABIC HYPOCORISTICS (NICKNAMES)

- Arabic nickname formation is TRUNCATION
- But it always preserves root consonants!
- Thus muħammɛd → ħammuud (*maħħam)

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FORM VIII SEMIVOWEL ASSIMILATION

Form VIII/ftasal Pattern in Arabic Weak Verbs

- Weak Verbs: verbs in Arabic with semivowels the root
- In form VIII, the semivowel disappears:
 - ttijah, "to head (for)" ($\sqrt{\text{wjh}}$; *utijah, *wtijah)
 - ttiqan, "to master, know well" (\sqrt{yqn} , *itiqan, *ytiqan)
 - ttixað, "to take, adopt" ($\sqrt{7x\delta}$, *?tixað)

No Assimilation Elsewhere

- Crucially, this does not happen elsewhere:
 - mawwtooni, "they would have killed me"
 - beythum, "their house"
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FORM VIII VOICING CONTRADICTIONS

Form VIII Infix Assimilates to [α voice]

- The infix also has PROGRESSIVE assimilation for $[\pm \text{ voice}]$:
 - ddi\u00e7a, "to claim" (*dti\u00e7a)
 - zdizam, "to be crowded" (*ztizam)

VOICING ASSIMILATION ELSEWHERE

- But normally, voicing assimilation is regressive:
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 - ?azdaas, "sixths" (*?asdaas)
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A Prosodic Theory of Nonconcatenative Morphology

- McCarthy (1981): first systematic attempt to explain RPM
- Takes roots, vocalisms, and templates as real
- Association governed by the tenets of Autosegmental Phonolog
- After association, TIER CONFLATION applies, linearizing the string
- Inventories of templates constrained by stipulation
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TEMPLATIC INVENTORY

Table: McCarthy (1981)'s Inventory of Templates for Arabic

CVCVC	CVCVCCVC
CVCCVC	CVCVVCVC
CVVCVC	CCVCCVC
CCVCVC	CCVVCVC

• Or by rule:

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• Or by rule:

2 $V \rightarrow \emptyset / [CVC_CVC]$

Vocalic Inventory

- Recall that vowels encode {voice, aspect, tense, . . . }
- Often in Arabic, it's the same vowel in both
- Don't need to go through them all, but...
 - $\mathbf{1}$ /a/ = [perfective, active]
 - (2) /u/ = [perfective, passive]
 - 3 /u...a/ = [participle, active]
 - 4 /u...a...i/ = [participle, passive]

Applying All These Things...

- From here, things associate according to the following conventions:
 - 1 If there are several unassociated melodic elements and several unassociated melody-bearing elements, the former are associated one-to-one from *left to right* with latter.
 - ② If, after application of the first convention, there remain one unassociated melodic element and one or more unassociated melody-bearing elements, the former is associated with all of the latter.
 - If all melodic elements are associated and if there are one or more unassociated melody-bearing elements, all of the latter are assigned the melody associated with the melody-bearing element on their immediate left, if possible.
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THE ARABIC DERIVATIONAL VERBAL PARADIGM - McCarthy-Style!

Table: $\sqrt{f\Omega}$, "doing, action"

Number	Verb	Template
I	faSal	$C_1VC_2VC_3$
II	fassal	$C_1VC_2C_2VC_3$
III	faaSal	$C_1VVC_2VC_3$
IV	?af\al	$2 C_1 C_2 V C_3$
V	tafassal	$taC_1VC_2C_2VC_3$
VI	tafaaSal	$taC_1VVC_2VC_3$
VII	nfaSal	$nC_1VC_2VC_3$
VIII	ftaSal	$C_1 tVC_2 VC_3$
IX	fSall	$C_1C_2VC_3C_3$
Χ	staffal	$staC_1C_2VC_3$

THE GOOD

- McCarthy's analysis gets us a few things nicely:
 - **1** OCP-Effects: combined with $L \rightarrow R$ spreading, this comes for free by stating OCP over the root
 - 2 RPM: this is built into the very architecture of the system
 - SEMANTICS: since the roots and vowels are morphemes, we can give them semantics
 - 4 ITEM/ARRANGEMENT: technically, this is like an I+A model of RPM (sorta?)
- ... and, hey, at least now we have a story!



THE BAD AND THE UGLY

- However, there are some problems, too:
 - 1 Cyclicity: we have no intrinsic account of (Brame's) cyclicity facts
 - TEMPLATES: recall that templates don't prime. . .
 - 3 Templates: also, we've really just stipulated template inventory
 - **4** Typology: the formal grammar of RPM is *really* weird from the standpoint of other languages
 - **6** OO-Effects: remember we had some evidence that some things actually do derive from words...

Introducing Fixed-Prosody

- We could take another tack in explaining RPM
- So what if there's a lot of evidence for the root? Maybe it's an accident...
- We already know that at least some of the time the input is a word
- **Idea**: Prosody is primary: it stays fixed once it's set
- This theory developed right here at UCSC!

CONSONANT CLUSTER TRANSFER IN HEBREW

Where did All These Consonants Come from?!

- Bat-El (1994): sometimes consonant clusters exist which shoudn't
- Always in *denominal* verbs
- The corresponding noun *always* has the cluster

- priklet, "to practice law" (from base praklit, "lawyer")
- frivrev, "to plumb" (from base fravrav, "plumber")
- striptez, "to perform a strip tease" (from base streptiz, "striptease")
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EXAMPLES!

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Imperative Truncation in Colloquial Hebrew

- In Colloquial Hebrew, one can form imperatives by truncation
- ... but this truncation doesn't really follow any templatic form
- However, it is predictible from the 2nd person future form

Table: Patterns of Truncating Imperatives in Modern Hebrew

Base	Imperative	Truncation	Pattern	Meaning
telamed	tlamed	V	CCVCVC	"to teach"
ti∫ava	t∫ava	V	CCVCVC	"to swear"
tiftax	ftax	CV	CCVC	"to open"
takum	kum	CV	CVC	"to get up"

Vowels in Hebrew Deverbal Nouns

Converting $V \rightarrow N$ in Hebrew

- Sometimes, one can only predict the deverbal noun from the noun:
 - 1 If a noun has /a/ as its vowel, its DV is formed by doubling.
 - 2 If a noun has /i, u/ as its vowel, its DV is in the [j]-form.
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HEBREW DENOMINAL VERB EXAMPLES

- cided, "to side" (from base cad, "side")
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SEMANTICS AND COMPOSITIONALITY

REGULARITIES IN HEBREW

IV/hufsal Generally the passive of III/hifsil VI/pusal Generally the passive of V/pisel VII/hitpasel A passive of III/hifsil or a "middle"

REGULARITIES IN ARABIC

IV/?af\al is usually causative.

V/tafassal is usually the passive of II/fassal

VI/tafaasal is usually the passive of III/faasal

VIII/ftasal is sometimes the passive of I/fasal



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A Brief Outline of Fixed-Prosody and Melodic OVERWRITING

- Fixed Prosody proceeds by noticing that word prosody is highly valued in Semitic
- **Idea**: When deciding what to do about affixes, the grammar:
 - 1 The stem (i.e., base word) must be Anchored to the edges of the word
 - 2 So the affixes must be *infixes*
 - But they can't change the shape of the word (w/o altering prosody)
 - 4 And constraints ensure they don't alter consonants (Max-C)
 - **5** So the affixes (vowels) overwrite the base vowels

- OO-Effects: We get all the word-word correspondence effects for free
- Prosody: Templates are *less* stipulative (but we need to derive the base)
- Typology: Semitic languages are *more* like other languages
- Templates: No templates (maybe) so they don't prime

THE BAD AND THE UGLY

- OO-Effects: Sometimes, the base (form I) doesn't exist
- Cyclicity: Still no account for (Brame's) cyclicity
- Semantics: What does the root contribute here?
- OCP Effects: No root, so how can this domain exist?
- Semivowels: Semivowel assimilation is problematic (see Tucker, in prep.)

CONCLUSIONS I

WHAT SHOULD ONE MAKE OF ALL THIS?

- The evidence points to a HYBRID model that countenances:
 - The root as base
 - Output words as bases
- Need to ensure that the template is not a primitive
- Syntactic structure might help with cyclicity

- More psycholing work nonce roots?
- Need tests to determine root- from word-derived words
- Why is there no Arabic', with vowels as roots?
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FUTURE RESEARCH

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- Need tests to determine root- from word-derived words
- Why is there no Arabic', with vowels as roots?
- How does semantics fit into the picture?



Conclusions II

- RPM languages are cool!
- Languages have strongly nonconcatenative morphologies
- They implicate a lot of the theory we've discussed in class
- They are not very well understood at present (at least not as well as English, ...)
- They implicate the smallest units of morphemic combination (roots) on the surface